



# NEW DONOR ADVISED FUND

## *Information Form*



SAINT PAUL  
& MINNESOTA  
FOUNDATION



## ABOUT YOU

### PRIMARY DONOR(S) / ADVISORS

This individual has full advisory privileges over the Fund, including grant recommendations, investment recommendations and naming Successor Advisors

#### PRIMARY DONOR

MR  MRS  MS  MX  DR  OTHER \_\_\_\_\_

\_\_\_\_\_  
FIRST NAME MIDDLE INITIAL LAST NAME

\_\_\_\_\_  
HOME ADDRESS CITY, STATE ZIP

\_\_\_\_\_  
BUSINESS NAME ADDRESS

\_\_\_\_\_  
PRIMARY PHONE BUSINESS PHONE EMAIL

#### ADDITIONAL PRIMARY DONOR

MR  MRS  MS  MX  DR  OTHER \_\_\_\_\_

\_\_\_\_\_  
FIRST NAME MIDDLE INITIAL LAST NAME

\_\_\_\_\_  
HOME ADDRESS CITY, STATE ZIP

\_\_\_\_\_  
BUSINESS NAME ADDRESS

\_\_\_\_\_  
PRIMARY PHONE BUSINESS PHONE EMAIL

Questions about this form? Contact: [philanthropy@spmcf.org](mailto:philanthropy@spmcf.org) or call 651.224.5463

## SUCCESSOR ADVISORS

Primary Donor(s) may designate one or more individuals as “Successor Advisors” (additional advisors). A Successor Advisor will have the authority to recommend grants and investments upon the death of the Fund’s last surviving Primary Donor(s).

The Fund may either continue as spendable fund or endowed for the Successor Advisors. A spendable fund may make grant distributions from both principal and income. An endowed fund is permanent and may make grant distributions per the Foundation’s spending policy.

The Primary Donor(s) may change the selection of Successor Advisors at any time before the Primary Donor(s) death or incapacity.

If a Successor Advisor is under the age of 18, please check this box:

*If you do not wish to name Successor Advisors, please leave this section blank.*

1

FIRST NAME

MIDDLE INITIAL

LAST NAME

2

FIRST NAME

MIDDLE INITIAL

LAST NAME

3

FIRST NAME

MIDDLE INITIAL

LAST NAME

4

FIRST NAME

MIDDLE INITIAL

LAST NAME

*(If you are naming more than four Successor Advisors, please provide their information on a separate sheet)*



# YOUR FUND

## FUND INFORMATION

*Fund Information: Minimum size to establish a donor advised fund is \$25,000.*

### FUND NAME

(no longer than 60 characters; example: The Smith & Wong Family Fund)

### ANONYMITY

You may choose to make grants anonymous on a case by case basis through the grant recommendation process or if you prefer complete anonymity for your fund, please indicate below:

- Anonymous Fund And Anonymous Advisor = No Advisor Name Or Fund Name
- Anonymous Advisor = No Advisor Name, But Fund Name
- Anonymous Fund = No Fund Name, But Advisor Name

### DISTRIBUTIONS

Your donor advised fund can be administered as follows: (choose only one)

- Non-Permanent whereby the entire balance of the fund may be used for grantmaking; OR
- Transitional whereby these funds are set up to be non-permanent during the lifetime of the Primary Donor(s). Upon the death of the Primary Donor(s), the Fund can become a permanent fund (if assets are \$25K or more) subject to the Foundation's Spending Policy for the Successor Advisor(s) lifetime.

• • •

Upon the death of the Primary Donor(s) and Successor Advisor(s), (if applicable) if the remaining Fund's balance is \$25,000 or over, the Fund will continue as a permanent (endowed) named fund to be administered as follows:

Distributions from the Fund: (if selecting more than one distribution type, must total 100%)

- Unrestricted \_\_\_\_\_%  
Unrestricted funds offer the broadest giving options, allowing the Foundation to make grants where there is the greatest need.

- Field of Interest \_\_\_\_\_%

Field of Interest funds support broad areas of charitable interest selected by the Donor. For example, areas of interest can include adult literacy, children’s needs, combating racism, healthy families, or environment. The selection of specific grant recipients is at the direction of the Foundation.

Please indicate your interests:

- |   |   |
|---|---|
| <input type="checkbox"/> Affordable Housing, Shelter & Homelessness               | <input type="checkbox"/> Health                                     |
| <input type="checkbox"/> Aging Services   | <input type="checkbox"/> Human Services                             |
| <input type="checkbox"/> Arts, Culture & Humanities                               | <input type="checkbox"/> Legal Services                             |
| <input type="checkbox"/> Civic Engagement, Civil Rights, Social Action & Advocacy | <input type="checkbox"/> Parent & Family Support                    |
| <input type="checkbox"/> Community Development                                    | <input type="checkbox"/> Prevention & Protection of Neglect & Abuse |
| <input type="checkbox"/> Disabilities   | <input type="checkbox"/> Transportation                             |
| <input type="checkbox"/> Economic Development                                     | <input type="checkbox"/> Volunteerism                               |
| <input type="checkbox"/> Education E-16   | <input type="checkbox"/> Workforce Development & Training           |
| <input type="checkbox"/> Environment  | <input type="checkbox"/> Youth Development                          |
| <input type="checkbox"/> Food, Agriculture & Nutrition                            |   |

- Designated \_\_\_\_\_%

Designated funds are established to annually support one or more named nonprofit organizations (organizations must be classified as a 501(c)3).

\_\_\_\_\_ % to \_\_\_\_\_  
\_\_\_\_\_ % to \_\_\_\_\_  
\_\_\_\_\_ % to \_\_\_\_\_  
\_\_\_\_\_ % to \_\_\_\_\_

Upon the death of the Primary Donor(s) and Successor Advisor(s), if the remaining Fund’s balance is less than \$25,000, the Fund will terminate and all remaining assets will become part of the Foundation’s unrestricted funds.

• • •

If you choose to have your donor advised fund permanent at the onset of the fund:

- Permanent whereby the amount available for grantmaking from your fund is based on the Spending Policy currently in place for the Foundation. Grants can be recommended by the current advisors up to the amount available to grant.

Upon the death of the Primary Donor(s) and Successor Advisor(s), (if applicable) if the remaining Fund’s balance is \$25,000 or over, the Fund will continue as a permanent (endowed) named fund to be administered as follows:

Distributions from the Fund: (if selecting more than one distribution type, must total 100%)

- Unrestricted \_\_\_\_\_%

Unrestricted funds offer the broadest giving options, allowing the Foundation to make grants where there is the greatest need.

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Please indicate your interests:

- |   |   |
|---|---|
| <input type="checkbox"/> Affordable Housing, Shelter & Homelessness               | <input type="checkbox"/> Health                                     |
| <input type="checkbox"/> Aging Services   | <input type="checkbox"/> Human Services                             |
| <input type="checkbox"/> Arts, Culture & Humanities                               | <input type="checkbox"/> Legal Services                             |
| <input type="checkbox"/> Civic Engagement, Civil Rights, Social Action & Advocacy | <input type="checkbox"/> Parent & Family Support                    |
| <input type="checkbox"/> Community Development                                    | <input type="checkbox"/> Prevention & Protection of Neglect & Abuse |
| <input type="checkbox"/> Disabilities   | <input type="checkbox"/> Transportation                             |
| <input type="checkbox"/> Economic Development                                     | <input type="checkbox"/> Volunteerism                               |
| <input type="checkbox"/> Education E-16   | <input type="checkbox"/> Workforce Development & Training           |
| <input type="checkbox"/> Environment  | <input type="checkbox"/> Youth Development                          |
| <input type="checkbox"/> Food, Agriculture & Nutrition                            |   |

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\_\_\_\_\_ % to \_\_\_\_\_  
\_\_\_\_\_ % to \_\_\_\_\_  
\_\_\_\_\_ % to \_\_\_\_\_  
\_\_\_\_\_ % to \_\_\_\_\_

**GIFT INFORMATION:**

When you are ready to make a gift, please notify us to ensure that your gift is properly delivered.

We accept:

- Cash/Check/Wire/ACH
- Appreciated Securities
- Other gift types; example: real estate; cryptocurrency; S/C Corp., etc.

**INVESTMENTS:**

The Primary Donor(s) may elect an investment allocation for the non-permanent Fund. The Foundation currently offers six different investment pools:

- |                                   |   |
|-----------------------------------|---|
| • Money Market Portfolio          | • Diversified Equity Portfolio          |
| • Fixed Income Portfolio          | • Socially Responsible Equity Portfolio |
| • Multi-Asset Endowment Portfolio | • Social Impact Fixed Income Portfolio  |

We will send you information on the various investment pools along with a form for your completion when your Fund is established.

- **Permanent Funds** are only invested in our Multi-Asset Endowment Portfolio.



## ADDITIONAL INVESTMENT OPTIONS

### BANK PARTNERS

We have partnered with US Bank and Wells Fargo Bank to offer donor advised funds to their existing clients. These fund options are managed by each bank in partnership with the Foundation.

If you are currently working with one of these two financial investment partners and wish to have your fund established through one of these financial partnerships, please let us know their name so that we may contact them to confirm this and establish this fund accordingly:

- US Bank \_\_\_\_\_  
Name of Advisor
  
- Wells Fargo \_\_\_\_\_  
Name of Advisor

### INDIVIDUALLY MANAGED FUND

Our Foundation is pleased to provide donors with a customized investment approach. Our Individually Managed Funds allow you to maintain a relationship with your preferred Investment Advisor. We will work with you and your advisor to build a custom investment portfolio for your donor advised fund. The minimum contribution to establish an Individually Managed Fund is \$250,000.

- I would like to establish and Individually Managed Fund with the Foundation.

Please contact us with questions on any of the above investment options.

### ESTATE PLANNING

You may name your donor advised fund as a beneficiary of your estate plan. If you have already done so, please indicate the type of commitment in the space below:

- Will or Revocable Trust
- Charitable Remainder Trust
- Charitable Gift Annuity
- IRA or other retirement plan assets
- Life Insurance
- Charitable Lead Trust
- Other

If you would like to learn about how to include your donor advised fund in your estate plan, please contact us.

## REFERRAL INFORMATION

Who greatly appreciate all who make referrals to the Saint Paul & Minnesota Foundation. If you were referred to us, please share their name(s) as applicable below:

- Professional Advisor \_\_\_\_\_ (name)
- Current donor advised fundholder \_\_\_\_\_ (name)
- Family/Friend \_\_\_\_\_ (name)
- Board Member \_\_\_\_\_ (name)
- News or other Media \_\_\_\_\_ (source)
- Reputation
- Other \_\_\_\_\_

## DISCLAIMER

I/We acknowledge that this form is not a legally binding contract. The Saint Paul & Minnesota Foundation will use the information provided in this form, to draft a fund agreement between me/us and the Foundation. Once the agreement is finalized, all parties will then execute that fund agreement as a legally binding contract.

I/We understand that the transfer of the property described above is an irrevocable gift to the Saint Paul & Minnesota Foundation and is not refundable to me/us, and such gift is intended to create a fund under Section 4966(d)(2) of the Internal Revenue Code, and that nothing in this application shall affect the status of the Foundation as a component fund of the Foundation.

*Please don't hesitate to reach out to us if you have any questions.*

You can email this form to: [philanthropy@spmcf.org](mailto:philanthropy@spmcf.org) or call 651.224.5463

